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#326 P.001/005

JUN 19 2012

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Patent of:

VIA FAX: 571-273-8300

Radin et al.

Patent No.: 5,929,304

Issued: Jul. 27, 1999

For: Production of Lysosomal Enzymes in Plant-Based Expression Systems

CHANGE IN ENTITY STATUS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned has noted that the 12th year maintenance fee was paid with a claim of small entity status. The claim of small entity is not correct for this case, and the undersigned wishes to correct the error immediately. The error was discovered when we conducted a review of this patent in preparation of an request for extension of patent term. The owner is a non-profit entity entitled to small entity status as is our licensee of this patent which is a small business with less than 500 employees. We discovered that our licensee, while entitled to small entity status, had sublicensed this patent to a company which does not qualify for small entity status.

Attached is a chart showing (1) the maintenance fee due and fee paid; (2) the amount paid to the USPTO; and (3) the amount owed to the USPTO. I have enclosed a credit card payment form to authorize the charge of \$2,675.00 which is the difference between the small entity fee paid and large entity fee due for the 12th year maintenance fee.

Respectfully submitted,

Date:

06/19/2012

Mark S. Coburn

Mark S. Coburn

President

Virginia Tech Intellectual Properties, Inc.

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Re: Patent No. 5,929,304

CHART OF FEES OWED

The chart below shows a breakdown of the monies paid to the USPTO and the monies owed to the USPTO.

Maintenance Fee and Date Filed	Amount Paid to USPTO	Amount Owed to USPTO
12 <sup>th</sup> Yr Maintenance Fee Paid: 1/12/2011	\$2,055.00	\$4,730.00

Therefore the total fee owed to the USPTO is \$2,675.00

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JUN 19 2012

PTO/SB/98 (07-09)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Virginia Tech Intellectual Properties, Inc.

Application No./Patent No.: 5,929,304

Filed/Issue Date: Jul. 27, 1999

Titled: Production of Lysosomal Enzymes in Plant-Based Expression Systems

Virginia Tech Intellectual Properties, Inc., a

Non-profit corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☒ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Carole L. Cramer To: Virginia Polytechnic Institute and State University

The document was recorded in the United States Patent and Trademark Office at  
Reel 008324, Frame 0288, or for which a copy thereof is attached.

2. From: Virginia Polytechnic Institute and State University To: Virginia Tech Intellectual Properties, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 008324, Frame 0304, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

06/19/2012

Date

Mark S. Coburn

President

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.